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Attorney Docket Number

Substitute for form 1449A/PTO

FORMATION DISCLOSURE TATEMENT BY APPLICANT

Complete if Known

Application Number 09/708,883

Filing Date November 7, 2000

First Named Inventor L. Carlton Brown, Jr.

Group Art Unit 2161

Examiner Name To Be Assigned

06969-022320US

(use as many sheets as necessary)

Sheet 1 of 2

	U.S. PATENT DOCUMENTS								
Examiner Initials *		U.S. Patent Document	ent Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Lines,				
	Cite No.1	Number Kind Code ² (if known)	of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear				
ERC	1	5,848,401	Goldberg et al.	12-08-1998					
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				FOREIGI	N PATENT DOCU	MENTS		
Examiner Initials*	Cite No. ¹	For Office ³	eign Patent Doo		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
ERC	2		WO 98/57302	A1	Pitney Bowes, Inc.	12-17-1998		
CRC	3		EP 0927960	A2	Pitney Bowes, Inc.	07-07-1999	RECEIVE	D
ERC	4		WO 99/18543	A1	Escher Group, Ltd.	04-15-1999	1 1 20	01
ERC	5		EP 0780809	A2	Pitney Bowes, Inc.	06-25-1997	HEN -	1
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Examiner Signature	Cosimano, E.	Date Considered	7-12-04

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Sheet

MFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Complete if Known

Application Number 09/708,883

Filing Date November 7, 2000

First Named Inventor Brown, L. Carlton, Jr.

Group Art Unit 2161

Examiner Name To Be Assigned

Attorney Docket Number 06969-022320US

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ2			
ERC	6	United States Postal Service, "Performance Criteria For Information-Based Indicia And Security Architecture For Open IBI Postage Evidencing Systems," Information Based Indicia Program (IBIP), June 25, 1999 XP-002161216				
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 3

	Complete if Known	
Application Number	09/708,883	701PF
Filing Date	November 7, 2000	7
First Named Inventor	Brown, L. Carlton	IIIN 1 1 2002
Group Art Unit	2161	12
Examiner Name	Unassigned	18
Attorney Docket Number	006969-022320US	TRANFI

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Application Number	09/708,883	1017
Filing Date	November 7, 2000	7
First Named Inventor	Brown, L. Carlton	JUN 1 1
Group Art Unit	2161	(P)
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ERC	BG	wo	98/20461	A2		05-14-1	998			
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Examiner Signature	Cosimono, E.	Date Considered	7-12-04
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Examiner Name	Unassigned	PENACH C

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Technology Cher 2002 OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Cite item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue Examiner No.1 number(s), publisher, city and/or country where published. Initials * "Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security BJ Architecture for Closed IBI Postage Metering Systems (PCIBI-C)" 12 January 1999 (1999-01-12), United States Postal Service, dated January 12, 1999. "Information Based Indicia Program (IBIP) Indicium Specification," United States Postal Service, dated June 13, BK "Information Based Indicia Program Postal Security Device Specification, United States Postal Service, dated BL June 13, 1996 "Information Based Indicia Program Host System Specification [Draft]," United States Postal Service, dated BM "Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security BN Architecture for IBI Postage Metering Systems (PCIBISAIBIPMS)," United States Postal Service, dated August BO BARKER-BENFIELD, "First Union Offers Online Transactions," Florida Times-Union, 1/28/94. FIBS PUB 140-1, Federal Information Processing Standards Publication, (January 11, 1994) Security Requirements for Cryptographic Modules, U.S. Department of Commerce, Ronald H. Brown, Secretary, RP National Institute of Standards and Technology; pp:1-51.

Examiner Signature	Cosimano, E	Date Considere	d 7-12-04

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